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UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: NAKATA, et al. Examiner: Unknown  
Serial No.: 10/699,348 Group Art: 2872  
Filed: October 30, 2003 Docket: 10873.489USC2  
Confirmation No.: 5400 Notice of Allow Date: n/a  
Due Date: n/a  
Title: METHOD OF MANUFACTURING OPTICAL HEAD

**CERTIFICATE UNDER 37 C.F.R. 1.8:**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 18, 2005.

By: Peggy Rerkhove  
Name: Peggy Rerkhove

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



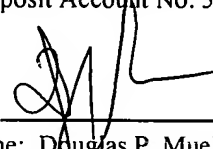
Sir:

The following papers are transmitted herewith:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Supplemental Information Disclosure Statement, Form 1449, 7 references, copy of Japanese Office Action
- ☒ Return Postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. A duplicate of this sheet is enclosed.

Hamre, Schumann, Mueller & Larson, P.C.  
P.O. Box 2902-0902 Minneapolis, MN 55402  
612.455-3800

By:   
Name: Douglas P. Mueller  
Reg. No.: 30,300  
Initials: DPM/pjk

S/N 10/699348

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: NAKATA et al. Examiner: Unknown  
Serial No.: 10/699348 Group Art Unit: 2872  
Filed: October 30, 2003 Docket No.: 10873.0489USC2  
Title: METHOD OF MANUFACTURING OPTICAL HEAD

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Mail Stop Amendment, P.O. Box 1450, Alexandria, VA 22313-1450 on August 18, 2005.

By: *Peggy Kerkhove*

Name: Peggy Kerkhove

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the item of information listed on the enclosed Form 1449 is brought to the attention of the Examiner. The references were recently cited in a Japanese Office Action mailed May 26, 2005.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449. Also, in accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that each item of information listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart foreign application within three months of filing this statement.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided. A copy of the Japanese Office is enclosed for the Examiner's review.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a

reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. §(a)(3)):

An English abstract and/or a partial English translation has been included for each Japanese reference.

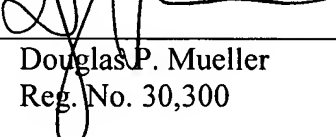
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-3478.

Respectfully submitted,


HAMRE, SCHUMANN, MUELLER &  
LARSON, P.C.  
P.O. Box 2902-0903  
Minneapolis, MN 55402-0903  
(612) 453-3800

Dated: August 18, 2005

By:   
\_\_\_\_\_  
Douglas P. Mueller  
Reg. No. 30,300

DPM/pjk



<b>FORM 1449*</b>  <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> (Use several sheets if necessary)	Docket Number: 10873.489USC2	Application Number: 10/699,348
	Applicant: NAKATA, ET AL.	
	Filing Date: October 30, 2005	Group Art Unit: 2872

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	63-50920	March, 1988	Japan			Partial & abstract	
	1-97413	June, 1989	Japan			Partial	
	6-20285	January, 1994	Japan			Partial	
	7-240035	September, 1995	Japan			Partial & Abstract	
	8-36771	February, 1996	Japan			Partial & Abstract	
	9-35322	February, 1997	Japan			Partial & Abstract	
	9-231592	September, 1997	Japan			Partial	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

**52835**

PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	